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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/087,993	03/05/2002	Axel Ullrich	038602-1328	4467
22428	590 07/02/2004		EXAM	INER
FOLEY AND LARDNER			ROBINSON, HOPE A	
SUITE 500 3000 K STREET NW			ART UNIT	PAPER NUMBER
WASHINGTON, DC 20007			1653	
			DATE MAILED: 07/02/2004	1 .

Please find below and/or attached an Office communication concerning this application or proceeding.



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APPLICATION NO./
CONTROL NO.

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PATENT IN REEXAMINATION

ATTORNEY DOCKET NO.

10/087,993

**EXAMINER** 

H. Robinson

ART UNIT

PAPER

1653

062904

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

## **Commissioner for Patents**

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 C.F.R.1.821(a)(1) and (a)(2). However, this application fails to comply with the requirement of 37 C.F.R. 1.821-1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures. Applicant must comply with the requirements of the sequence rules (37 C.F.R. 1.821-1.825) before the application can be examined under 35 U.S.C. 131 and 132. It is noted that applicant filed a sequence listing on July 1, 2002 and a computer readable form which has been accepted, however, the claim(s) are directed to the amino acid sequences listed in Figure 3 which do not correspond to any of the sequences in the sequence listing nor do they have their own sequence identifier. In addition, the specific sequence that corresponds to Figure 3 needs to be recited in the claim(s) with the sequence identifier (i.e. SEQ ID NO:), see claim 11. As such the application has not complied with the sequence rules. However, since the reply appears to be bona fide attempt to comply with the requirements of the sequence rules (37 CFR 1.821 - 1.825), applicant is given a TIME PERIOD of ONE (1) MONTH from the mailing date of this communication within which to correct the deficiency so as to comply with the sequence rules (37 CFR 1.821 - 1.825) in order to avoid abandonment of the application under 37 CFR 1.821(g). EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a) and run from the date of mailing of the Office Communication. In no instance may the period for reply extend beyond the statutory period. Please direct all replies to the United States Patent and Trademark Office via one (1) of the following:

1. Electronically submitted through EFS-Bio

(<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission User Manual - ePAVE)

2. Mailed to:

Mail Stop Sequence,

Commissioner for Patents

P.O. Box 22313-1450

Alexandria, VA 22313-1450

- 3. Mailed by Federal Express, United Parcel Service or other delivery service to:
  U. S. Patent and Trademark Office
  220 20<sup>th</sup> Street S
  Customer Window, Mail Stop----Crystal Plaza Two, Lobby, Room 1B03, Arlington, Virginia 22202
- 4. Hand Carried directly to the Customer Window at: U.S. Patent and Trademark Office

PTO-90C (Rev.04-03)

220 20<sup>th</sup> Street S.
Customer Window, Mail Stop----Crystal Plaza Two, Lobby, Room 1B03, Arlington, Virginia 22202.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hope A. Robinson whose telephone number is 571-272-0957. The examiner can normally be reached on Monday-Friday from 9:00 a.m. to 6:30 p.m. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jon P. Weber can be reached on 571-272-0925. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Hope Robinson, MS HR
Patent Examiner 6/29/04

Application No.: <u>10/087,993</u>

## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

х	1.	This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).	
	2.	This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).	
	3.	A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).	
x	4.	A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."	
	5.	The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).	
	6.	The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).	
X	7.	Other: See Raw Sequence Listing Error Report	
	8.	Applicant Must Provide:	
x	An	initial or substitute computer readable form (CRF) copy of the "Sequence Listing".	
x	An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.		
X	A s	tatement that the content of the paper and computer readable copies are the same and, where applicable, ude no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g).	

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216 or (703) 308-2923
- For CRF Submission Help, call (703) 308-4212
- For Patentin software Program Support:
  - HELP DESK: (703) 739-8559, ext 508, M-F, 8 AM to 5 PM EST except holidays
  - Email: <u>PATIN21HELP@uspto.gov</u>
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